



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Soria et al.
Serial No.: 09/960,769
For: IMPLEMENTING VERSIONING SUPPORT FOR DATA USING A TWO-TABLE APPROACH THAT MAXIMIZES DATABASE EFFICIENCY
Filed: September 21, 2001
Examiner: Not Yet Assigned
Art Unit: 2185

Attorney Docket: STL920000113US1

**Box MISSING PARTS
COMMISSIONER FOR PATENTS
Washington, D.C. 20231**

Sir:

**TRANSMITTAL OF MISSING PARTS OF APPLICATION AND
PAYMENT OF SURCHARGE UNDER 37 C.F.R. §1.16(e)**

Transmitted herewith are the following documents pertaining to the above-identified application:

- XX** Declaration and Power of Attorney form executed by Steven Soria, Jr., Donald Allan Weil, Gerald Edward Kozina, Chenhuei J. Chiang, Lynda Arnold Hansen, Mayank Vipin Shah, and Thomas S. Lee;
- XX** Associate Power of Attorney;
- XX** Declaration Claiming Small Entity Status (Small Business Concern);
- XX** Three Sheets of Formal Drawings;
- XX** An Assignment of the Invention to : **ASSIGNEE NAME: INTERNATIONAL BUSINESS MACHINES CORPORATION, ASSIGNEE RESIDENCE: ARMONK, NEW YORK**, including \$40.00 recordation fee and Assignment Recordation Cover Sheet;
- XX** A Certified Copy of the Priority Application;
- XX** Information Disclosure Statement (Form - 1449); and



A copy of the Notice to File Missing Parts of Application Filing Date Granted.

Petition and Fee for Extension of Time (37 CFR 1.136(A)).

The Filing Fee is calculated below.

CLAIMS AS FILED				
(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Basic Fee \$740.00
Total Claims	43 - 20 =	23	x \$18.00	\$414.00
Independent Claims	3 - 3 =	0	x \$84.00	\$0
Multiple Dependent Claim Fee		x \$280.00 = \$0.00		
TOTAL FILING FEE			\$PAID	

1/2 FILING FEE FOR SMALL ENTITY	\$
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XX A check in the amount of \$ **170.00** to cover the (\$130.00) surcharge and the (\$40.00) recordation of Assignment is enclosed.

XX The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.

December 10, 2001

Date

Paul D. Greeley, Esq.
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.
Attorney for Applicant(s)
Registration No. 31,019
Telephone: (203) 327-4500
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CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: BOX MISSING PARTS, COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, ON DECEMBER 10, 2001.

VICTORIA E. ROESER
NAME.

SIGNATURE

12/10/01
DATE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
PATENT TRANSMITTAL FORM

Applicant: Soria et al.

Serial No.: 09/960,769

Filed: September 21, 2001

For: IMPLEMENTING VERSIONING SUPPORT FOR DATA USING A
TWO-TABLE APPROACH THAT MAXIMIZES DATABASE
EFFICIENCY

Examiner: Not yet assigned

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COMMISSIONER FOR PATENTS
Washington, D.C. 20231

SUBMISSION OF FORMAL DRAWINGS

Sir:

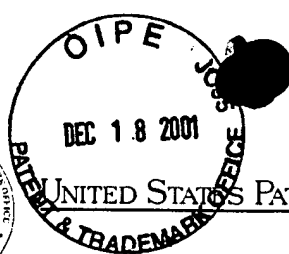
Transmitted herewith are three sheets of formal drawings for Figures 1 to 3 in the
above-identified application.

Respectfully submitted,



Date: December 10, 2001

Paul D. Greeley
Reg. No. 31,019
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.
One Landmark Square, 10th Floor
Stamford, Connecticut 06901-2682
(203) 327-4500



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Section #

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/960,769	09/21/2001	Steven Soria JR.	STL920000113US1

CONFIRMATION NO. 6311

FORMALITIES LETTER



OC00000006942124

Paul D. Greeley, Esq.
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10th Floor
One Landmark Square
Stamford, CT 06901-2682

Date Mailed: 10/22/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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